

JUDGE BUCHWALD

AO 120 (Rev. 3/04)

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| TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 | REPLY ON THE FILING OR DETERMINATION OF AN SOLICITATION REGARDING A PATENT OR TRADEMARK |
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02 CV 5442

JUN 25 2007

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Southern District of New York on the following ☒ Patents or ☐ Trademarks:

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| DOCKET NO. | DATE FILED 6/6/2007 | U.S. DISTRICT COURT for the Southern District of New York |
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| PLAINTIFF RONALD A. KATZ TECHNOLOGY LICENSING, L.P. | DEFENDANT NEW YORK LIFE INSURANCE COMPANY, NEW YORK LIFE AND ANNUITY CORPORATION, NYLIFE INSURANCE COMPANY OF ARIZONA, NYLIFE SECURITIES LLC ; M&T BANK CORPORATION; MANUFACTURERS AND TRADERS TRUST COMPANY; M&T BANK, N.A.; THE HARTFORD FINANCIAL SERVICES GROUP, INC.; HARTFORD LIFE, INC.; HARTFORD LIFE AND ACCIDENT INSURANCE COMPANY; HARTFORD LIFE INSURANCE COMPANY; HARTFORD FIRE INSURANCE COMPANY; HARTFORD CASUALTY INSURANCE COMPANY; HARTFORD ACCIDENT AND INDEMNITY COMPANY; HARTFORD UNDERWRITERS INSURANCE COMPANY, HARTFORD INSURANCE COMPANY OF ILLINOIS, THE HARTFORD MUTUAL FUNDS, INC. |
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SOLICITOR

JUN 25 2007

U.S. PATENT & TRADEMARK OFFICE

| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
|-------------------------|-----------------------------|--|
| 1 6,035,021 | 3/7/00 | Ronald A. Katz |
| 2 6,148,065 | 11/14/00 | Ronald A. Katz Technology Licensing LP |
| 3 5,974,120 | 10/26/99 | Ronald A. Katz Technology Licensing LP |
| 4 6,349,134 | 2/19/02 | Ronald A. Katz Technology Licensing LP |
| 5 4,930,150 | 5/29/90 | First Data Resources, Inc. |
| 6 5,787,156 | 7/28/98 | Ronald A. Katz Technology Licensing LP |
| 7 6,434,223 | 8/13/02 | Ronald A. Katz Technology Licensing LP |
| 8 5,251,252 | 10/5/93 | First Data Resources, Inc. |
| 9 5,351,285 | 9/27/94 | First Data Resources, Inc. |
| 10 5,255,309 | 10/19/93 | First Data Resources, Inc. |
| 11 6,678,360 | 1/13/04 | Ronald A. Katz Technology Licensing LP |
| 12 5,815,551 | 9/29/98 | Ronald A. Katz Technology Licensing LP |
| 13 6,424,703 | 7/23/02 | Ronald A. Katz Technology Licensing LP |
| 14 5,561,707 | 10/1/96 | Ronald A. Katz Technology Licensing LP |
| 15 5,828,734 | 10/27/98 | Ronald A. Katz Technology Licensing LP |
| 16 5,898,762 | 4/27/99 | Ronald A. Katz Technology Licensing LP |

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| 17 5,684,863 | 11/04/97 | Ronald A. Katz Technology Licensing LP |
| 18 5,917,893 | 6/29/99 | Ronald A. Katz Technology Licensing LP |
| 19 6,335,965 | 1/1/02 | Ronald A. Katz Technology Licensing LP |
| 20 4,792,968 | 12/20/88 | FDR Interactive Tech |
| 21 5,128,984 | 7/7/92 | First Data Resources, Inc. |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

| DATE INCLUDED | INCLUDED BY | | |
|----------------------------|--|-------------------------------|--|
| | <input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading | | |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK | |
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In the above—entitled case, the following decision has been rendered or judgement issued:

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| DECISION/JUDGEMENT |
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| CLERK <i>J. Michael McMahon</i> | (BY) DEPUTY CLERK <i>Maribel Becerra</i> | DATE <i>12/11/07</i> |
|------------------------------------|---|-------------------------|

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director
 Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

